FINANCIAL SECURITY
GROUP • ADVISORY • MANAGEMENT

477 Viking Drive, Suite 305
Virginia Beach, VA 23452
(757) 431-1414

Business Continuity Plan (BCP) Disclosure Statement

Financial Security has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions are unpredictable, we will be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our Business Continuity Plan.

CONTACTING US

If after a significant business disruption you cannot contact us as you usually do at (757) 431-1414 or by email, you should contact your Representative directly or go to our website at www.gofsg.com. If you cannot access us through either of those means, you should contact the company where your account is held (i.e. Charles Schwab, American Funds, Jackson National, etc.) for instructions on how they may provide prompt access to your funds and securities, enter orders and process other trade-related cash, and security transfer transactions for you.

OUR BUSINESS CONTINUITY PLAN

We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the Firm's books and records, and allowing our customers to transact business. In short, our Business Continuity Plan is designed to permit our Firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our Business Continuity Plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternate communications with customers, employees, and regulators; alternate physical locations of employees; critical supplier, contractor, bank, and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities in the event that we are unable to continue our business.

VARYING DISRUPTIONS

Significant business disruptions can vary in their scope, such as only our Firm's office, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal and severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within 3 business days. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area and recover and resume business within 5 business days. In either situation, we plan to continue in business, transfer operations to an alternate location if necessary, and notify you through our website, www.gofsg.com or the above-listed phone numbers as to how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our customer's prompt access to their funds and securities by contacting the company where their account is held.